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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 298-220

First Inventor Gerner

Title REFRIGERATION AND/OR FREEZER...

Express Mail Label No. EL995745355US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 9]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
- Oath or Declaration [Total Pages]

 - Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

 - DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents, P. O. Box 1450
Alexandria, VA 22313-1450

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - CD-ROM or CD-R (2 copies); or
 - paper
 - Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
- English Translation Document (*if applicable*)
- Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- Other: **CHECK \$770.00**

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

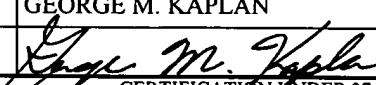
 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

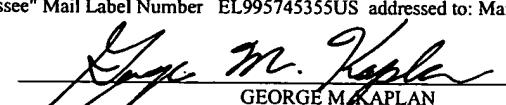
| | | | | |
|--|---|-----------|---|----------------|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) | | or <input checked="" type="checkbox"/> Correspondence address below | |
| Name | ROCCO S. BARRESE, ESQ. | | | |
| Address | DILWORTH & BARRESE, LLP 333 Earle Ovington Blvd. | | | |
| City | Uniondale | State | New York | |
| Country | U.S.A | Telephone | (516) 228-8484 | |
| | | | Zip Code | 11553 |
| | | | Fax | (516) 228-8516 |

| | | | |
|-------------------|---|-----------------------------------|--------------|
| Name (Print/Type) | GEORGE M. KAPLAN | Registration No. (Attorney/Agent) | 28,375 |
| Signature |  | Date | FEB. 9, 2004 |

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL995745355US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: FEB. 9, 2004


GEORGE M. KAPLAN
31355 U.S. PTO
10/774784

020904



23026 US PTO
020904

PATENT
Atty. Docket No. 298-220

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

UTILITY APPLICATION DATA SHEET UNDER 37 C.F.R. §1.76

Transmitted herewith for filing is the patent application of

Inventor(s): Herbert Gerner

For: REFRIGERATION AND/OR FREEZING SYSTEM

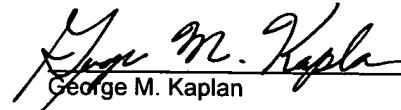
Enclosed are:

- [] 5 page(s) of specification
- [] 1 page(s) of abstract
- [] 3 page(s) of claims (1-10)
- [] 1 sheet(s) of drawings [X] formal [] informal (Fig. 1)
- [] 7 page(s) of preliminary amendment
- [] 8 page(s) of German Language Text
- [] Verification of English Translation
- [] Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 9, 2004 as "Express Mail Post Office to Addressee" Mail Label Number EV995745355US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: February 9, 2004


George M. Kaplan

23026 U.S.PTO
020904

This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

/

FILING DATE

- Certified copy of application

CountryAppln. No.FiledGERMANY203 01 949.0February 7, 2003

from which priority under Title 35 United States Code, § 119 is claimed

is enclosed.

will follow.

CALCULATION OF UTILITY APPLICATION FEE *

| For | Number Filed | Number Extra | Rate | Basic Fee \$770.00 |
|-------------------------|--------------|--------------|--------|-----------------------|
| TOTAL CLAIMS ** | 20-20 | 9 | x 18 = | \$0.00 |
| INDEPENDENT CLAIMS | 1-3 | 0 | x 86 = | \$0.00 |
| [] Multiple Dep. Claim | | | 290 | \$0.00 |
| | | | | TOTAL \$770.00 |

- Small Entity Status is claimed Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith \$0.00.
- The amount of \$40.00 for recording the attached Assignment is enclosed as a separate check.
- Check in the amount of \$770.00 to cover the [] filing fee(s) is attached.

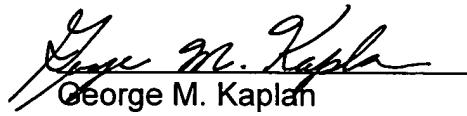
* Based upon accompanying Preliminary Amendment

** includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. § 1.75(c).

- Charge fee to Deposit Account No. 04-1121.
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. **04-1121**. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. **04-1121** therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

Date: February 9, 2004



George M. Kaplan
Reg. No. 28,375
Attorney for Applicant

DILWORTH & BARRESE, LLP
333 Earle Ovington Blvd.
Uniondale, NY 11553
Tel. No. (516) 228-8484
Fax. (516) 228-8516